

1 **Article Type: Invited Review**

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3 **CROI 2023: SUMMARY OF BASIC SCIENCE RESEARCH IN HIV**

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8 **Abstract:** *Conference on Retroviruses and Opportunistic Infections*  
9 *(CROI) 2023 represented the first fully in-person conference since the*  
10 *severe acute respiratory syndrome coronavirus virus-2 (SARS-CoV-2)*  
11 *pandemic began. CROI continues as the premier conference in which*  
12 *delegates can appraise themselves of almost every facet of HIV/AIDS*  
13 *research as well as emerging and reemerging pathogens such as SARS-*  
14 *CoV-2 and mpox (previously designated Monkeypox). The return to an in-*  
15 *person format is particularly important for early-stage investigators*  
16 *who were faced with challenges of advancing their independent research*  
17 *careers during the SARS-CoV-2 pandemic. The personnel interactions and*  
18 *face-to-face meetings between junior investigators and their peers*  
19 *enable collaboration that is important in the academic development*  
20 *process. A very packed program showcased research advances in basic*  
21 *research, clinical, and epidemiology/public health endeavors around*  
22 *HIV and other pandemic viruses. Session presentation summaries, themed*  
23 *discussion sessions, and scientific workshops condense and assimilate*  
24 *specific areas of research that is particularly useful for delegates*  
25 *who want to see the state of research in areas that may be outside*  
26 *their specific areas of interest. The conference organizers drew on*  
27 *more than 1000 accepted abstracts to assemble and dynamic and engaging*  
28 *program that was appealing to infectious diseases researchers*  
29 *worldwide.*

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31 **Keywords:** CROI 2023, HIV, HIV-1, reservoirs, cure

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## 1 Virology

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3 In his presentation in the Scott M. Hammer New Investigator workshop,  
4 Neil reviewed replication and immune escape strategies of HIV-1 and  
5 SARS-CoV-2. Much of the detailed understanding of HIV-1 infection has  
6 helped guide investigations into the inner workings of SARS-CoV-2.  
7 Both viruses are driven by competing selection pressures such as those  
8 provided by host immune responses and target cell availability and  
9 those pressures drive evolution in HIV-1 envelope glycoprotein and  
10 SARS-CoV-2 spike protein. Advances in imaging methods such as single-  
11 particle, cryo-electron microscopy (cryo-EM) has allowed visualization  
12 of the HIV-1 receptor binding and conformational changes including  
13 trimer opening at remarkable levels of resolution (3.7Å and 3.9Å).

14 Work from several groups reveals the HIV envelope glycoprotein  
15 binding 3 CD4 proteins in rapid succession that induces conformational  
16 changes in envelope that expose coreceptor binding sites. This CD4-  
17 induced conformational change in envelope opens new avenues for  
18 development of therapeutics that prevent viral infection. One can see  
19 the impact of envelope evolution by following changes in the envelope  
20 sequence that occur in a single infected individual over the course of  
21 several years. Most of the changes lead to escape from neutralizing  
22 antibody and CD8+ T cell responses. Some changes lead to increased CD4  
23 binding affinity that typically can increase tropism for macrophages  
24 as well as changes in coreceptor use.

25 Similar forces appear at play in the evolution of the spike  
26 protein of SARS-CoV-2 and in the emergence of new variants of concern.  
27 Most of the changes over time erode the impact of neutralizing  
28 antibodies levied against the spike protein. This drives changes in  
29 spike protein conformation and alteration of viral biology. These  
30 themes were expanded on in presentations by Bieniasz (Abstract 18) and  
31 Hodcroft (Abstract 19). Neil reinforced the notion that changes  
32 occurring in the SARS-CoV-2 spike protein are not simply due to escape  
33 from host humoral immune responses but change the basic biology of the  
34 spike protein itself. For example, the spike protein of some variants  
35 has a more open conformation, but that of the Omicron variant has a  
36 more closed conformation, which reduces dependence on the TMPRSS2  
37 protease and is more dependent on endosomal entry than the original  
38 Wuhan strain of the virus. This also affects the sensitivity of the  
39 virus to antiviral membrane proteins such as interferon-induced  
40 transmembrane proteins (IFITM) that have been shown to inhibit viral  
41 infection of diverse viruses such as Ebola virus, influenza A virus,  
42 and West Nile virus in different subcellular compartments, and  
43 guanylate binding proteins (GBPs) that mediate a broad spectrum of  
44 innate immune functions against viruses. Some of these changes are  
45 suspected to change the tropism of SARS-CoV-2 for epithelial cells in  
46 the upper respiratory tract that could potentially alter viral  
47 pathogenicity. Numerous lines of evidence suggest that HIV-1 has  
48 evolved mechanisms to limit pattern recognition immune responses as  
49 well as to evade type I interferons that are triggered by this pattern  
50 recognition response. This also appears to hold true for SARS-CoV-2  
51 evolution outside of the SARS-CoV-2 spike protein (particularly in

1 ORF6, ORF9 and N) in that some changes increase the level of  
2 expression of these viral accessory proteins that antagonize the  
3 innate antiviral forces of the cell (see also Abstract 108). These  
4 presentations collectively highlight the continuous evolution and  
5 adaptation of viruses that although driven primarily by escape from  
6 humoral and cell mediated immune responses, also alter the biology of  
7 the virus to achieve greater fitness in the face of a hostile host  
8 environment.

9 For HIV, the molecular steps that immediately follow fusion of  
10 the viral membrane with the host cell membrane and precede integration  
11 of viral cDNA within host cell DNA remain the least well understood  
12 events in the viral replication cycle. This enigmatic phase of  
13 replication, collectively referred to as the preintegration steps of  
14 replication, is made of a number of successive events, some that  
15 distinguish the basic biology of lentiviruses from animal  
16 retroviruses. Once the virus has engaged receptor and coreceptor  
17 molecules, the core of the virion, that is made up of a capsid lattice  
18 containing genomic viral RNA and viral enzymes (eg, reverse  
19 transcriptase, integrase), that catalyze the cDNA synthesis and  
20 integration steps, respectively, is released into the cytoplasm of the  
21 target cell. Through an as yet poorly understood process, viral  
22 nucleic acids are reverse transcribed and transported to the nucleus  
23 of the cell where the integrase enzyme promotes integration of viral  
24 cDNA with host cell DNA. This all occurs within a sub viral capsid  
25 lattice also referred to as the reverse transcription complex or  
26 preintegration complex.

27 Since the viral integrase needs to remain in association with  
28 nascent viral cDNA so that it can catalyze integration of that DNA,  
29 the sub viral lattice has a mass that would otherwise preclude it from  
30 accessing the nuclear compartment of a cell that is not in mitosis.  
31 Myeloid cells do not divide, yet are permissive to HIV infection.  
32 Therefore, HIV-1 and other lentiviruses have evolved a mechanism that  
33 permits access of the preintegration complex to the nucleus. This is a  
34 central characteristic that underscores the general ability of  
35 lentiviruses to transduce non-dividing cells and this property has  
36 been exploited for the derivation of lentivirus vectors for  
37 transduction of non-dividing targets.

38 As discussed in several presentations (Abstracts 102, 104, 215,  
39 216, and 217), there appear to be characteristics of the core that  
40 play several roles in the molecular events involved in cDNA synthesis  
41 and nucleic acid transport. The capsid protein that forms the core  
42 lattice mediates interactions with cellular factors that help guide  
43 the complex through the cytoplasm to promote further interactions that  
44 aid in docking the preintegration complex to the nuclear pore. The  
45 question remains whether the entire core/preintegration complex  
46 structure passes through the core as is or undergoes some  
47 rearrangement that facilitates nuclear transport.

48 It now appears that the process of reverse transcription is  
49 triggered when the core docks at the nuclear pore as opposed to the  
50 conventional view that reverse transcription is initiated prior to or  
51 immediately after fusion and proceeds concurrently with core transport  
52 through the cell cytoplasm. This likely serves as a viral defense

1 mechanism that helps the virus avoid sensing by the host cell of viral  
2 cDNA by cytoplasmic DNA sensors. Mutations within the capsid can  
3 interfere with this avoidance mechanism and post-entry steps in viral  
4 replication in primary cells.

5 A number of immune proteins (such as TRIM 5, and Trim 34) that  
6 target the capsid core as it traverses the cytoplasm have been  
7 identified. Mx2/MxB is an interferon-inducible GTPase that localizes  
8 to the nuclear pore complex and that has antiviral activity against a  
9 wide variety of viruses including lentiviruses, herpesviruses, and  
10 flaviviruses. As discussed in Abstract 104, the N-terminal domain of  
11 Mx2, which also harbors the nuclear localization signal mediating  
12 nuclear pore localization of Mx2, interacts with HIV-1 capsid and  
13 inhibits nuclear import of HIV-1 preintegration complexes. One  
14 presentation (Abstract 100) provided mechanistic insight into the  
15 antiviral activity of lenacapavir, the first-in-class HIV-1 capsid  
16 inhibitor. Although it prevents assembly of the viral capsid lattice  
17 during production of virions in the producer cell, it also interrupts  
18 preintegration events in viral replication. Lenacapavir binds to the  
19 capsid lattice after it enters the cytoplasm and interferes with  
20 interactions between the capsid lattice and host cell proteins (such  
21 as NUP153, Sec24C, and CPSF6) that are required for post-entry  
22 functions of the capsid lattice. Intriguingly, capsid lattices  
23 stabilized by lenacapavir were able to access the nucleus but  
24 underwent abortive infection. In addition to their intrinsic value in  
25 management of HIV-1, antiviral agents such as lenacapavir can be used  
26 as research tools to help shed greater light on the enigmatic,  
27 preintegration events in HIV-1 replication.

## 28 29 **HIV-1 Persistence and Reservoir Studies**

30  
31 Analysis of the antiviral defenses levied against incoming viral  
32 genomes has provided provocative evidence that those defenses may play  
33 a key role in the establishment of viral latency. As highlighted in  
34 Abstract 37, antiviral defenses assault retroviruses not just as they  
35 traverse the cytoplasm, but also within the nucleus. It now appears  
36 that some of those antiviral defenses may aid in the establishment of  
37 latent HIV-1 infection.

38 During retroviral infection, some linear viral DNA molecules  
39 undergo end to end ligation and recombination to form 1- and 2- long  
40 terminal repeat (LTR) circles (viral episomes containing 1 or 2 copies  
41 of the long terminal repeat sequence). Some viruses, such as Epstein  
42 Barr virus, can replicate from episomal DNA. However, viral gene  
43 expression from unintegrated DNA is extremely inefficient and viruses  
44 with mutations in integrase are also replication defective and  
45 accumulate repressive epigenetic marks, including trimethylation of  
46 lysine 9 on histone H3. Therefore, integration is a necessary step in  
47 the replication of HIV.

48 The processes that limit the expression of unintegrated viral DNA  
49 are not well understood. Using a genome-wide CRISPR/Cas9 knockout  
50 screen, the Cullen laboratory identified the host SMC5/6 as  
51 orchestrating epigenetic silencing of unintegrated HIV-1 DNA.<sup>1</sup> SMC5/6

1 was shown to bind to and SUMOylate unintegrated chromatinized HIV-1  
2 DNA. Remarkably, when SMC5/6 expression was blocked, unintegrated DNA  
3 directed efficient transcription and integration defective mutants  
4 were replication competent. Surprisingly, blocking SMC5/6 expression  
5 also prevented establishment of latent HIV-1 infection in CD4+ T cells  
6 in vitro. The investigators propose that latent infection is a  
7 predetermined phenomenon that depends on SUMOylation of unintegrated  
8 DNA by the SMC5/6 complex.

9 This observation has important implications and suggest that HIV-  
10 1 latency is not merely a consequence of the activation state of the  
11 host cell or the region of chromatin that harbors the provirus, but  
12 rather, is an unfortunate side effect of a cellular innate immune  
13 response that originally was meant to silence foreign DNA. The current  
14 view is that latency is dictated by the activation state of the host  
15 cell. In activated cells, there are abundant cellular transcription  
16 factors that create an optimal environment for viral transcription,  
17 but in quiescent cells, these factors are rate limiting and therefore  
18 promote conditions for latency. Furthermore, studies suggest that  
19 proviruses integrated within non-genic regions of host cell chromatin  
20 are more likely to exist in a latent state and undergo selection over  
21 time, perhaps because they are less likely to be transcriptionally  
22 active and thus, less likely to be detected by host immune clearance  
23 forces. If indeed, latency is driven by epigenetic modification of  
24 viral cDNA prior to its integration, this could point to new  
25 strategies to limit the establishment of latency or to reverse  
26 latency.

27 The path to a cure for HIV-1 infection will be facilitated by a  
28 deeper understanding of the nature of the viral reservoir and  
29 characteristics of viral reservoir cells that might help inform on  
30 strategies to eliminate those reservoirs. As highlighted in  
31 Siliciano's presentation (Abstract 26) a concerted research effort has  
32 revealed some central features of the CD4+ T cell reservoir. The  
33 reservoir appears to be established early after infection- and  
34 establishment of the latent reservoir is perhaps facilitated by  
35 initiation of antiretroviral therapy (ART). The reservoir comprises  
36 proviruses in memory CD4+ T cells that are expanded through the  
37 process of homeostatic proliferation. The intrinsic stability of the  
38 latent proviral reservoir in memory CD4+ T cells is a consequence of  
39 the longevity of memory CD4+ T cells. So far, host cell signatures  
40 that might distinguish a latently infected cell from an uninfected  
41 cell have not been identified. These basic characteristics of the  
42 memory CD4+ T cell reservoir enforce the notion that elimination of  
43 this reservoir will be a formidable challenge. Researchers are now  
44 zeroing in on characteristics of the CD4+ T cell reservoir at the  
45 single-cell level to better understand what might facilitate survival  
46 of the reservoir cell as well as host factors that might be  
47 differentially expressed in that cell and that could be exploited for  
48 therapeutic intervention.

49 Several excellent presentations highlighted and summarized  
50 advances in approaches to viral reservoir analysis that help to give a  
51 more detailed picture of the characteristics of individual reservoir  
52 cells (Abstracts 2,3, 4, 135, and 142). A presentation (Abstract 2)



1 from Roan overviewed the application of tools such as cytometry by  
2 time of flight (CyTOF) and single-cell RNA sequencing (scRNAseq) to  
3 the analysis of reservoir cells at the single-cell level. CyTOF uses  
4 mass cytometry to simultaneously quantitate various labeled proteins  
5 on the surface and the interior of individual cells. scRNAseq involves  
6 sequencing of cDNA libraries that were prepared from individual cells.  
7 Combined, these methods reveal the transcriptomic and proteomic  
8 content of individual cells in blood and in tissues. A limitation to  
9 these approaches is that they must look at many individual cells to  
10 find ones that are infected. Roan first discussed how these methods  
11 can reveal changes that occur in infected cells using tonsillar CD4+ T  
12 cells infected with an indicator virus. She illustrated how, on  
13 infection, CD4+ T cells exhibit characteristics not shown by  
14 uninfected cells- referred to as virus-induced remodeling. This  
15 information was then extrapolated to predict what subsets of T cells  
16 are most susceptible to infection. By comparing genes expressed in the  
17 infected cells, Roan was able to predict the nature of the original  
18 target cell, known as predicted precursor cells. This analysis was  
19 also applied to identify the HIV-susceptible cell subsets in the  
20 female reproductive tract.

21 Prior studies from the same laboratory indicated that genital  
22 tract T cells are more susceptible to HIV infection than their  
23 counterparts in blood. Those cells were identified as memory CD4+ T  
24 cells. Naive CD4+ T cells were spared from HIV-1 infection. Within the  
25 memory T-cell population, T-effector memory and central T-resident  
26 memory cells were preferentially infected, and T-central memory cells  
27 were preferentially spared from infection. Roan was able to extend  
28 this by examining the protein signature of productively infected cells  
29 to identify the proteins that might be remodeled by infection. This  
30 analysis revealed that HIV infection downregulates T-cell receptor  
31 (TCR) signaling apparatus and promotes expression of factors such as  
32 surviving that promote T-cell survival and homing. This paints a more  
33 detailed picture of an infected cell and indicates that HIV-1  
34 infection of the cell dampens the ability of the cell to respond to  
35 adaptive immune responses mediated through TCR signaling while  
36 simultaneously remodeling promoting their ability to survive and  
37 disseminate infection by inducing their migration to draining lymph  
38 nodes and retention in lymph node follicles.

39 Roan's laboratory has more recently extended the analysis to  
40 assess the glycan content of infected cells. This approach involves  
41 labeling of glycans with tagged lectins, an approach termed CyTOF-Lec.  
42 Through this modification, Roan was able to ask whether HIV-1  
43 preferentially infects cells with specific glycan profiles and whether  
44 those profiles were remodeled after infection. HIV-1 was found to  
45 preferentially infect cells expressing high levels of fructose and  
46 sialic acid and further upregulated those glycans upon infection.  
47 Interestingly, the expression levels of sialic acid appeared to  
48 discriminate amongst a population of similar cells for susceptibility  
49 to infection. Sialic acid also plays a role in promoting evasion from  
50 natural killer (NK)-mediated recognition. Therefore, HIV appears to  
51 select for cell subsets that might be able to provide refuge from NK-  
52 mediated killing.

1           These in vitro studies are now being extended to examine  
2 characteristics of reservoir cells from ART-suppressed individuals  
3 with HIV. The challenge to this analysis is that there is no marker  
4 that would aid in selecting the reservoir cells for analysis. Prior  
5 studies have circumvented this by ex-vivo reactivation of reservoir  
6 cell, which in itself, would change the phenotype of the reservoir  
7 cell. Roan addressed this through the same approach used to predict  
8 the original transcriptomic and proteomic content of a cell infected  
9 in vitro. First, an atlas of all memory T-cell types is assembled. The  
10 information from a reactivated, infected cell is then matched against  
11 the atlas to reveal the identity of the original non-reactivated cell  
12 the predicted phenotype. This analysis revealed the identity of  
13 several markers on memory T cells that could enable enrichment of  
14 reservoir cells from infected individuals followed by high-dimensional  
15 single-cell analysis that would have not been possible with unenriched  
16 cells. This allowed defining some of the characteristics of non-  
17 reactivated reservoir cells. One of those characteristics was the  
18 presence of viral RNA transcripts that have previously been used to  
19 define a component of the reservoir as the "expressed" viral  
20 reservoir. Prior studies have indicated that levels of cell associated  
21 viral RNA in CD4+ T cells from individuals on ART can predict the time  
22 to viral rebound if ART is interrupted, and that the origin of rebound  
23 viremia includes cells with expanded proviruses that harbored viral  
24 transcripts prior to ART interruption.<sup>2,3</sup>

25           Abstract 106 discussed studies that attempted to assess the  
26 fraction of infected cells harboring viral RNA and whether there is a  
27 relationship between level of plasma viremia and the percentage of  
28 cells that harbor viral RNA. The frequencies of cells harboring viral  
29 RNA (unspliced, genomic RNA) were compared between untreated  
30 individuals with high levels of viremia, untreated individuals with  
31 low levels of viremia, and individuals on ART. Approximately 20% of  
32 infected cells from viremic and aviremic individuals were found to  
33 express viral RNA at any point in time. Non-controllers were found to  
34 have a 20-fold higher frequency of viral RNA-containing cells than  
35 viremic controllers. There was also a direct correlation between  
36 number of viral RNA-containing cells and levels of plasma viremia.  
37 Surprisingly however, the fraction of infected cells containing viral  
38 RNA was not associated with the level of plasma viremia. However,  
39 levels of viral RNA in single cells were correlated with plasma  
40 viremia and cells from viremic non-controllers had higher levels of  
41 viral RNA per cell than those from viremic controllers.

42           Therefore, although viremic non-controllers and controllers have  
43 similar frequencies of cells harboring viral RNA, viremic controllers  
44 have fewer infected cells, and as a consequence, fewer total cells  
45 expressing viral RNA. The investigators concluded that the natural  
46 control of HIV replication is not due to inhibition of proviral  
47 expression but to factors (such as cytotoxic T lymphocytes [CTLs], NK  
48 cells, and host factors) that limit viral replication from cells  
49 harboring expressed proviruses. Collectively, these analyses are  
50 providing deeper insight into reservoir characteristics. These  
51 approaches will hopefully address fundamental questions regarding the  
52 viral reservoir. For example, is the "expressed" viral reservoir

1 distinct from the latent viral reservoir? What percentage of reservoir  
2 cells are transcriptionally active? If most reservoir cells are  
3 transcriptionally active, will they also express viral proteins and if  
4 so, can this be exploited for viral reservoir clearance strategies?

5 Betts (Abstract 3) also overviewed single-cell approaches for  
6 viral reservoir characterization at the DNA level. The approaches use  
7 the HIV provirus as the tag for the reservoir because, by definition,  
8 any reservoir cell must harbor a copy of the viral genome. In  
9 addition, using the provirus as a tag circumvents the need to  
10 reactivate the infection and as such, the original identity of the  
11 reservoir cell can be maintained.

12 One of the most recent approaches to reservoir analysis at the  
13 DNA level is FINDseq, developed by the Boritz research group, that  
14 uses a viral DNA probe to sort low numbers of reservoir cells in  
15 batches of approximately 100 cells that then underwent transcriptomic  
16 analysis. That approach revealed that viral DNA-containing cells  
17 exhibited transcriptomic signatures consistent with anti-death and  
18 anti-proliferative characteristics to the infected cell. This analysis  
19 was not optimal since it was not at single-cell resolution and was  
20 limited to the transcriptomic content of the cell.

21 Some of these issues were addressed in Abstract 1435, that  
22 described an approach called PHEPseq, which combines viral DNA  
23 profiling with cell surface protein characterization. The approach  
24 targets viral DNA with primer-probe sets that identify intact versus  
25 defective (with deletions) provirus and allows identity of the cell to  
26 be determined from analysis of cell surface proteins. As such the  
27 memory phenotype of the infected cell harboring intact, defective, and  
28 clonally expanded proviruses could be determined. Finally, Betts  
29 highlighted the utility of ASAPseq that detects viral DNA in genes in  
30 open chromatin and simultaneously uses oligo-tagged antibody to give  
31 information on the nature of the infected cell. The analysis showed  
32 that viral DNA was present in almost every CD4+ T-cell subset from  
33 individuals with HIV-1 infection on ART. As with data gleaned from  
34 other single-cell approaches, provirus containing cells exhibited a  
35 trend toward expression of cell survival proteins. Taken together,  
36 these different approaches point toward a phenotype of a reservoir  
37 cell that has a propensity for survival and activation, a feature that  
38 favor reservoir longevity and renewal of viral replication when  
39 conditions for that replication are favorable.

40 Ho (Abstract 4) assessed approaches being adopted in her  
41 laboratory to answer basic questions on reservoir characteristics such  
42 as features of the reservoir cell that enable viral persistence in the  
43 face of viral cytopathicity and immune clearance. Ho uses an approach  
44 (ECCITEseq) that allows analysis of transcriptomic profiles of  
45 infected cells together with their memory phenotype and their T-cell  
46 clone size. This method was applied to individuals at acute viremia  
47 and after 1 year of ART. Over this interval, the most clonally  
48 expanded CD4+ T cells exhibited a cytotoxic CD4+ cell phenotype  
49 expressing granzyme A and B and perforin. In addition, T-cell clones  
50 with the same antigen and immune program also contained expanded  
51 proviruses indicating that antigen responsiveness drives clonal  
52 proviral expansion. HIV-positive granzyme B-positive clones



1 upregulated SERPINB9, which inhibits granzyme B. This supports a model  
2 in which the infected cell protects itself from self-inflicted  
3 granzyme B killing, again enforcing the notion that HIV infection  
4 remodels the infected cell for survival and self-protection. Although  
5 these characteristics appear representative of cells within the  
6 "expressed" viral reservoir, it is unclear whether those same  
7 characteristics are exhibited by reservoir cells that are not  
8 expressing HIV. Regardless, these studies provide a baseline for  
9 future studies to zero in on cell surface markers that could aid in  
10 the specific identification (and removal) of reservoir cells.

11 **Abstracts cited in the text appear in the CROI 2023 Abstract eBook,**  
12 **available online at [www.CROIconference.org](http://www.CROIconference.org).**

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14  
15 The IAS-USA will identify and resolve ahead of time any possible  
16 conflicts of interest that may influence CME activities with regard to  
17 exposition or conclusion. All financial relationships with ineligible  
18 companies for the authors and planners/reviewers are below.

19  
20 *Financial affiliations in the past 24 months: Dr Stevenson has no*  
21 *relevant financial affiliations to disclose (Updated May 11, 2023).*  
22

23 All relevant financial relationships with ineligible companies have  
24 been mitigated.

25  
26 *Top Antivir Med.* 2023;31(3).  
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